

<b>Policy Title: Submission of Research Protocol</b>	<b>Date of Origin: 10/01/1997</b>
<b>Site(s): JMC</b>	<b>Type: Organization Wide</b>
<b>Policy Owner: Jeanine Secor (Director of Clinical Research)</b>	<b>Department(s): Clinical Research</b>
<b>Review/Revise Due Date: 10/19/2018</b>	<b>Date Approved: 10/19/2015</b>

### Policy Statement

New research proposals at Jupiter Medical Center will undergo a thorough review process to ensure the expertise and qualifications of the Principal Investigator, the scientific merit of the study, and the study feasibility.

### Purpose

To protect the rights, welfare and safety of all clinical research participants

### Scope

The Principal Investigator and those whom are designated by the Principal Investigator. The Principal Investigator is ultimately responsible.

### Definitions

CV – Curriculum Vitae  
 IND – Investigational New Drug  
 IRB – Institutional Review Board  
 NIH – National Institutes of Health  
 PI – Principal Investigator

### Policy

- A. Principal investigators shall be responsible for submitting to the IRB information regarding all research involving human subjects to include:
  1. **Consent Form**- A consent form or process is required for all protocols that pertain to human subjects.
  2. **Protocol**- To include quality of life and assessment forms.
  3. **Initial Review Submission Form** – signed by Principal Investigator.
  4. **Other materials** to be provided to subjects which are not included in the protocol, such as advertisements, questionnaires, diaries, etc.
  5. **Investigators Brochures, if appropriate**
  6. **Form 1572** (or 1571 form, if IND) with all resumes and professional licenses attached. FDA Website has the 1572 form if needed.
  7. **Certificate of Completion**, NIH internet course, “Human Participant Protections Education for Research Teams”; University of Miami CITI, or acceptable course for all investigators and study staff.
  8. Guidance for Industry Good Clinical Practices completion for all investigators and study staff.

## Submission of Research Protocol Continued

9. CV for Principal Investigator and all investigators and study staff.
  10. For expected costs, please see [Clinical Research Department Schedule of Fees](#)
  11. **Circumstances under which an IRB fee waiver may be appropriate:**
    - Research conducted by JMC students and/or team members and research project is in alignment with a JMC program
    - Research that is investigator-initiated and research project that is in alignment with a JMC program
    - Emergency or compassionate use cases when life threatening- to be determined on a case by case basis when treated at JMC
    - Devices being regulated under a Human Device Exemption (HDE) that is in alignment with a JMC program
    - JMC IRB relinquishes oversight to another (outside) IRB.
  12. If you wish to request a waiver of fees, see [Request for Waiver of IRB Fees Form](#)
- B. The IRB Coordinator shall
1. Submit the proposed research study information to the appropriate Jupiter Medical Center physicians or employees as noted in the Pre-IRB Review Policy, who shall review:
    - a. the scientific merit of the study and its medical appropriateness in comparison to current standards for medical care;
    - b. cost implications for the research subject and for Jupiter Medical Center;
    - c. Operational demands the research study may place upon Jupiter Medical Center staff and/or departments.
  2. The IRB and the Pre-IRB review committee reserve the right to seek an outside opinion regarding a particular proposed study; especially if it is determined that a greater understanding and/or expertise is required. The cost for this outside review shall be borne by the PI/study sponsor. The PI will be notified of cost prior to review.
  3. Following this review, a summary of findings, comments, questions and/or concerns shall be submitted to the IRB Coordinator.
  4. This review shall be accomplished within a period not to exceed 2 weeks' time frame dependent upon cooperation by PI and study sponsor.
- C. Upon Completion of pre-IRB review, PI will provide adequate copies of protocol, informed consent, and advertisements to the office of IRB for full IRB review within 3 weeks of the next IRB meeting.

### Related Documents

- A. Initial Protocol Review Form
- B. Initial Investigational Device Protocol Review Form
- C. Criteria for IRB Approval of Research
- D. [Clinical Research Department Schedule of Fees](#)
- E. [Institutional Review Board - Schedule of Fees](#)
- F. [Request for Waiver of IRB Fees Form](#)

### References

Submission of Research Protocol Continued

- A. FDA Information Sheets, Guidance for Institutional Review Boards and Clinical Investigators; 1998 Update, Title 21, Part 50 Code of Federal Regulations (CFR); Title 21, Part 56 CFR; Title 45, Part 46 (DHHS) CFR.
- B. Review Dates: 10/99, 01/01, 01/28/10, 06/13
- C. Revision Dates: 04/99, 03/02, 01/06, 01/08, 01/09, 03/12, 01/15, 09/15
- D. Contributing Author: IRB Coordinator, Director of Research, VP Ambulatory Services, Institutional Official

<b>Approved by: Policy Committee, Judith Magalhaes (Vice President Outpatient Oper)</b>	<b>Approve Date: 10/19/2015</b>